

## Information regarding Legal Entity Identifier (“LEI”)

Dear Client

China CITIC Bank International Limited (“CNCBI” or the “Bank”) is obliged by regulators to request an LEI from you when you transact over-the-counter derivatives with the Bank in order to fulfill the reporting obligations as a member of the Hong Kong Trade Repository. Although it may not be mandatory for you to obtain an LEI for your entity, you are encouraged to refer to the following information. If you have already obtained an LEI for your entity, please provide such information to your CNCBI representative as soon as possible.

- **General introduction to Legal Entity Identifier (“LEI”): GLEIF – Global Legal Entity Identifier Foundation**

<https://www.gleif.org/en/about-lei/introducing-the-legal-entity-identifier-lei>

- **Local Operating Unit (“LOU”) accredited by GLEIF to issue LEI’s, and application procedure**

<https://www.gs1hk.org/zh-hk/our-services/legal-entity-identifier/about/overview>

Please be informed that this notice is also available at the Bank’s website at [www.cncbinternational.com/corporates/treasury/foreign-exchange/en/index.jsp](http://www.cncbinternational.com/corporates/treasury/foreign-exchange/en/index.jsp). In order to address the changing regulatory, industry and other developments, this notice may be updated from time to time without prior notice.

Should you have any questions, please contact your CNCBI representative.

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