

Severe weather trading arrangements

To support the implementation of Severe Weather¹ Trading ("SWT") arrangements initiated by the Hong Kong Exchanges and Clearing Limited ("HKEX") in the Hong Kong securities and derivatives markets, the following banking and payment services provided by China CITIC Bank International Limited ("CNCBI") will be made available² on severe weather days with effect from 23 September 2024.

- Securities trading service via electronic channels³
- Fund transfer instructions received through electronic channels (including e-cheque deposit)
- Paper cheques clearing service remains unavailable when severe weather remains for the whole day. Cheques deposited before the cut-off time on the previous business day (Monday to Friday, except public holiday) will be cleared and settled.

To continue enjoying the above banking service on severe weather days, customers are encouraged to make prior arrangements including:

- Applying for and setting up electronic services, eg, internet banking, mobile banking or phone banking, and ensuring that your login information is in order, if appropriate
- Reviewing and adjusting electronic channels daily transfer limits, if necessary

For details of the HKEX's Severe Weather Trading Arrangements, please visit the HKEX's website at https://www.hkex.com.hk/Services/Trading-hours-and-Severe-Weather-Arrangements/Severe-Weather-Trading-Arrangements?sc_lang=en.

For more details of the Bank's related service arrangements, please refer to *Appendix I*.

For enquiries, please contact your relationship manager or call us at the following hotlines:

	Hotline	Hour
Customer Service and General Banking Hotline	(852) 2287 6767	Monday to Friday, 9am to 6pm (Hong Kong Time) Saturday 9am to 1pm [^] [^] Except public holidays
Corporate Online Banking Service Hotline	(852) 3603 6166	Monday to Friday, 9am to 6pm (Hong Kong Time)
Business Banking Service Hotline	(852) 2287 6868	Monday to Friday, 9am to 6pm (Hong Kong Time) Saturday 9am to 1pm [^] [^] Except public holidays.

Should there be any inconsistency between the English and Chinese versions, the English version shall prevail.

China CITIC Bank International Limited
 5 September 2024

¹ Severe weather refers to an issuance of Typhoon Signal No.8 or above, or a Black Rainstorm Warning by the Hong Kong Observatory, or an announcement of "Extreme Conditions" by the HKSAR Government.

² Subject to the relevant terms and conditions.

³ Electronic channels refers to internet banking, mobile banking, phone banking or financial services delivered through self-service terminals.

Appendix I: Service Arrangements under Severe Weather

Securities trading related service		
Product/ Service Category	Service Arrangements under Severe Weather (Prior to SWT implementation)	Service Arrangements under Severe Weather (Following SWT implementation effective 23 September 2024)
Securities Trading Service	Personal Banking	
	<u>Available:</u> <ul style="list-style-type: none"> Trading of US stocks via inVest and Personal Internet Banking <u>Unavailable:</u> <ul style="list-style-type: none"> Trading of Hong Kong shares and A shares 	<u>Available:</u> <ul style="list-style-type: none"> Trading of Hong Kong shares via inMotion, inVest, Personal Internet Banking, Automated Securities Trading Hotline and Manned Securities Trading Hotline Trading of A shares via inVest, Personal Internet Banking, Automated Securities Trading Hotline and Manned Securities Trading Hotline Trading of US stocks via inVest and Personal Internet Banking
	Business Banking	
	<u>Unavailable:</u> <ul style="list-style-type: none"> Trading of Hong Kong shares and A shares 	<u>Available:</u> <ul style="list-style-type: none"> Trading of Hong Kong shares and A shares via Automated Securities Trading Hotline and Manned Securities Trading Hotline <u>Unavailable:</u> <ul style="list-style-type: none"> Securities trading service support by Business Banking Customer Relationship Managers
	Private Banking	
	<u>Available:</u> <ul style="list-style-type: none"> Trading of US stocks via inVest & Personal Internet Banking (individual customers only) <u>Unavailable:</u> <ul style="list-style-type: none"> Trading of Hong Kong shares and A shares 	<u>Available (Individual Customers)</u> <ul style="list-style-type: none"> Trading of Hong Kong shares via inMotion, inVest, Personal Internet Banking, Automated Securities Trading Hotline and Manned Securities Trading Hotline Trading of A shares via inVest, Personal Internet Banking, Automated Securities Trading Hotline and Manned Securities Trading Hotline

			<ul style="list-style-type: none"> • Trading of US stocks via inVest and Personal Internet Banking <u>Available (Corporate Customers)</u> <ul style="list-style-type: none"> • Trading of Hong Kong shares and A shares via Automated Securities Trading Hotline and Manned Securities Trading Hotline <u>Unavailable:</u> <ul style="list-style-type: none"> • Securities trading services by Private Banking Customer Relationship Managers
Personal / Business / Private Banking			
Settlement of Securities Trading		<ul style="list-style-type: none"> • Settlement of Securities Trading postponed to next business day 	<ul style="list-style-type: none"> • Settlement of Securities Trading to be carried out as scheduled
Securities Deposit / Withdrawal/ Stock Transfer Service		<ul style="list-style-type: none"> • No physical scrip deposit/ withdrawal / stock transfer service • Stock transfer instructions received prior to severe weather day carried out on next business day 	<ul style="list-style-type: none"> • Same arrangement as prior to implementation of SWT • Stock Transfer instructions received prior to severe weather day be carried out subject to counterparty confirmation
IPO Subscription Service (not applicable to Business Banking & Private Banking Corporate Customers)		<ul style="list-style-type: none"> • If there is severe weather during the IPO application period, customer could submit application for IPO subscription via Personal Internet Banking/ inVest. CNCBI's deadline not to be postponed to next business day • IPO refund and allotment postponed to next business day 	<ul style="list-style-type: none"> • Same arrangement of IPO application as prior to implementation of SWT • IPO refund and allotment to be carried out as scheduled
Corporate Action	Cash Dividend, Stock Dividend / Bonus Issue	<ul style="list-style-type: none"> • Cash dividend, stock dividend / bonus issue distribution postponed to next business day 	<ul style="list-style-type: none"> • Cash dividend, stock dividend/ bonus issue distribution to be paid, subject to receipt of good funds from Listing Company/ CCASS
	Election, Subscription	<ul style="list-style-type: none"> • CNCBI's Deadline not postponed to next business day. Late instruction proceeded at our best endeavour 	<ul style="list-style-type: none"> • Same arrangement as prior to implementation of SWT
	Stock Consolidation and Splitting, Change of Board Lot	<ul style="list-style-type: none"> • Subject to issuers' arrangement (effective date might or might not postponed) 	<ul style="list-style-type: none"> • Stock consolidation and splitting, change of board lot to be carried out as scheduled

	Voting	• Voting service unavailable	• Same arrangement as prior to implementation of SWT
Other Securities related Investment Products	Equity Linked Investments	• Subscription service unavailable	• Same arrangement as prior to implementation of SWT
		• Coupon payment and settlement postponed to next business day	• Coupon payment and settlement to be carried out as scheduled
	Structured Note (Linked to Equity)	• Subscription service unavailable	• Same arrangement as prior to implementation of SWT
		• Coupon payment and settlement postponed to next business day	• Coupon payment and settlement to be carried out as scheduled
Personal / Business / Private Banking and Wholesale Banking			
Payment-related services			
Product/ Service Category	Service Arrangements under Severe Weather (Prior to SWT implementation)		Service Arrangements under Severe Weather (Following SWT implementation effective 23 September 2024)
Paper Cheque (deposits via branches, ATM and dropbox)	• Clearing and settlement service unavailable should severe weather remain for whole day		<div>• When severe weather remains for the whole day, clearing service will not be available, relevant funds of cheques deposited before the cut-off time on the previous business day will usually be available for use or withdrawal on the severe weather day (excluding Saturday, Sunday or public holiday)</div> <div>• When severe weather does not remain for the whole day, and bank branches had been opened for business (excluding Saturday, Sunday or public holiday), relevant funds of cheques deposited before the cut-off time on the same day will usually be available for use or withdrawal on the next business day; relevant funds of cheques deposited before the cut-off time on the previous business day will usually be available for use or withdrawal on the severe weather day (excluding Saturday, Sunday or public holiday)</div> <div>Remark :Customers are advised to ensure sufficient</div>

		funds in the relevant accounts before cheque issuance
E-Cheque	<ul style="list-style-type: none"> • Clearing and settlement service unavailable should severe weather remain for whole day 	<ul style="list-style-type: none"> • Service available as usual, e-cheques deposited is available before the cut-off time of the severe weather day (excluding Saturday, Sunday or public holiday) will be processed on the same day and settled on the next business day. <p>Remark: Customers are advised to ensure sufficient funds in the relevant accounts before cheque issuance</p>
Remittance service (Telegraphic Transfer & CHATS)	<ul style="list-style-type: none"> • Service unavailable should severe weather remain for whole day 	<ul style="list-style-type: none"> • Service available through electronic channels e.g. inMotion/ Personal Internet Banking (PIB), Business i-Banking and Corporate Online Banking.
Wholesale Banking		
Product/ Service Category	Service Arrangements under Severe Weather (Prior to SWT implementation)	Service Arrangements under Severe Weather (Following SWT implementation effective 23 September 2024)
Custodian Service	<ul style="list-style-type: none"> • Settlement related custodian services unavailable should severe weather remain for whole day 	Settlement related custodian services for Hong Kong Securities Market available and electronic instructions (SWIFT, online banking and email) to be accepted.