



| Service Items | Fees and charges | Minimum Charges |
|--|--|----------------------------------|
| Capital Investment Entrant Scheme Services | | |
| Account Maintenance Fee | HKD5,000 per annum | - |
| Investment Fund Services | | |
| Subscription, Redemption, Annual Management Fee & other charges | As stipulated in the offering documents or prospectus of each respective funds | - |
| Switching Handling Fee ¹ | 1% of transaction value | - |
| Bond Services | | |
| Custodian Fee | Non-RMB Bond: 0.10% p.a. of Nominal Value (Chargeable semi-annually) RMB Bond: Waived | HKD80 / USD10 (or equivalent) |
| Robo 360 Advisory Services | | |
| Advisory Service Fee | 0.5% p.a. on total value of Asset Under Advice (AUA) (daily accrued and monthly charged) | - |
| Note: Advisory Service Fee is accrued by calendar days and charged on a monthly basis. Daily accrued advisory fee = Total value of AUA x 0.5% / 365 (366 in case of leap year) | | |
| Asset Transfer In/Out (for Investment Fund and Bond) | | |
| Asset Holding Transfer in to the Bank | Waived | - |
| Asset Holding Transfer out from the Bank | HKD500 for each asset | - |
| Change of Asset Title² (for Investment Fund / Bond / Structured Note / Equity-linked Investment / Certificates of Deposit / Structured Deposit) | | |
| Change of Asset Title Fee | HKD300 for each asset | - |
| ¹ Applicable to switching among eligible funds managed by the same fund company. ² The Bank may at its sole discretion accept or reject the application. | | |
| U.S. Withholding Tax | | |
| U.S. Withholding Tax for Investment Product with US source of income | 30% of gross interest / dividend (if applicable) | - |
| Securities Trading Services | | |
| Trade-Related Services | | |
| Securities Brokerage Commission (for All Markets) | | |
| inMotion/ inVest | 0.125 % of transaction amount | HKD 100/ CNY 85/ USD 15 |
| i-banking | 0.15 % of transaction amount | HKD 100/ CNY 85/ USD 15 |
| Automated Securities Trading Hotline | 0.20 % of transaction amount | HKD 100/ CNY 85/ USD 15 |
| Manned Securities Trading Hotline | 0.25% of transaction amount | HKD 150/ CNY 125/ USD 22 |
| Note: Buy orders executed within the same trading day via the same channel for the same stock will be combined for brokerage commission calculation. The same brokerage commission calculation combination logic will also apply to sell orders. | | |
| Securities Transaction Levies/Charges | | |
| Hong Kong Market | | |
| Stamp Duty | 0.10% of transaction amount (rounded up to nearest dollar) | - |
| Transaction levy | 0.0027% of transaction amount (rounded to nearest cent) | - |
| HKEX trading fee | 0.00565% of transaction amount (rounded to nearest cent) | - |
| Account and Financial Reporting Council (AFRC) Transaction Levy | 0.00015% of transaction amount (rounded to nearest cent) | - |
| Italian Financial Transaction Tax (IFTT) (buy trade of Italian companies shares) | 0.1% on the net buy amount | - |
| China Market | | |
| Handling Fee | A-Shares : 0.00341% of transaction amount ETFs : 0.004% of transaction amount | - |
| Securities Management Fee | A-Shares : 0.002% of transaction amount ETFs : Waived | - |
| Stamp Duty (sell trade only) | A-Shares : 0.05% of transaction amount ETFs : Waived | - |
| Transfer Fee | A-Shares : 0.003% of transaction amount (China Securities Depository and Clearing Corporation Limited (ChinaClear): 0.001%; Hong Kong Securities Clearing Company (HKSCC): 0.002%) ETFs : 0.002% of transaction amount (China Securities Depository and Clearing Corporation Limited (ChinaClear): Waived; Hong Kong Securities Clearing Company (HKSCC): 0.002%) | - |



| Service Items | Fees and charges | Minimum Charges |
|--|--|---|
| Securities Trading Services | | |
| Trade-Related Services | | |
| <u>U.S. Market</u> | | |
| U.S. Securities and Exchange Commission (SEC) Fee (sell trade only) | Subject to SEC latest rules and charges | - |
| <u>Other Market</u> | | |
| As stipulated as per the related stock exchange of the applicable market. | | |
| Scrip Handling and Settlement-Related Services | | |
| <u>Hong Kong Market</u> | | |
| CCASS Stock Settlement Fee | Waived | - |
| Stock Receipt or Deposit Fee | Waived | - |
| Stock Delivery or Withdrawal Fee (Fee applicable to Physical and CCASS withdrawal) | HKD5 / CNY5 / USD1 per lot | HKD100 / CNY100 / USD15 (per stock per transaction) |
| Transfer Deed Stamp Duty | HKD5 /CNY5 per transaction deed | - |
| Multi-Counter Transfer Fee | HKD5 / CNY5 per transaction | - |
| <u>China Market</u> | | |
| Stock Receipt or Deposit Fee | Waived | - |
| Stock Delivery or Withdrawal Fee | CNY5 per lot | CNY100 (per stock per transaction) |
| <u>U.S. Market</u> | | |
| Stock Receipt or Deposit Fee | USD35 per trade | - |
| Stock Delivery or Withdrawal Fee | USD35 per trade | - |
| American Depository Receipts Fee | USD0.01 to USD0.05 per share (if applicable) | - |
| DTC Envelope Settlement Service (ESS) Fee | USD100 per transaction | - |
| Securities Nominee Services and Corporate Action Charge | | |
| <u>Hong Kong Market</u> | | |
| Collection of Cash Dividends / Scrip Dividends | 0.5% of the dividend amount (Maximum Charge HKD2,500 /CNY2,500 /USD320) | HKD30 / CNY30 / USD5 |
| Other Corporate Action Handling Fee per event | HKD2.5 / CNY2.5 / USD0.5 per lot (Maximum Charge HKD2,500 /CNY2,500 /USD320) | HKD50 / CNY50 / USD8 |
| Warrants Exercise / CBBC Exercise Handling Fee | HKD2.5 / CNY2.5 / USD0.5 per lot (Maximum Charge HKD200 /CNY200 /USD30) | HKD50 / CNY50 / USD8 |
| Dividend Claim | HKD300 per claim per stock | - |
| <u>China Market</u> | | |
| Collection of Cash Dividends / Scrip Dividends | 0.5% of the dividend amount (Maximum Charge CNY2,500) | CNY30 |
| Other Corporate Action Handling Fee per event | CNY5 per lot (Maximum Charge CNY2,500) | CNY50 |
| <u>U.S. Market</u> | | |
| Dividend Collection | 0.75% of gross dividend | USD15 |
| Cash Offer | 0.5% of cash amount | USD10 |
| Rights Issue / Bonus Share Issue / Stock Split / Stock Merger / Take Over | USD35 per event | - |
| Other Corporate Action Handling Fee per event | USD50 | - |
| U.S. Withholding Tax for Investment Product with US source of income | 30% of gross interest / dividend (if applicable) | - |
| <u>Other Market</u> | | |
| Collection of Cash Dividends / Scrip Dividends | 0.5% of the dividend amount (Maximum Charge HKD2,500 or equivalent) | HKD30 or equivalent |
| Other Corporate Action Handling Fee per event | HKD200 or equivalent | - |



| Service Items | Fees and charges | Minimum Charges |
|---|--|------------------|
| Information Services | | |
| Hong Kong Market Streaming Quote | | |
| Hong Kong Market Streaming Quote | HKD368 / month | - |
| Note: If the accumulated successful transaction amount for one account reaches HKD1,200,000 or equivalent during the relevant calendar month, free Streaming Quote Service will be granted for the following calendar month. | | |
| Real Time Quote | | |
| Real Time Quote for Hong Kong, China and U.S. Market | 1,000 Free Quotes per market per month HKD0.1 for each additional quote | HKD10 per market |
| Note: Additional 200 free real time quotes will be granted in the following month for every HKD100,000 or equivalent accumulated successful transaction in Hong Kong and China market during the relevant month. | | |
| Hong Kong Market SMS Price Alert | | |
| Hong Kong Market SMS Price Alert | 100 Free SMS Price Alert per month HKD1 for each additional price alert | HKD10 |
| Other Services | | |
| Initial Public Offer (IPO) Application Handling Fee | | |
| Application via all channels | Waived | - |
| Statement | | |
| Reprint for Statements | HKD50 /statement | - |
| Account Maintenance | | |
| Securities Custodian Fee | | |
| Securities Custodian Fee (Semi-annual) | HKD100 | - |
| Note: 1. Clients who maintain any stock holding with us and do not conduct any securities transactions (including buy or sell transactions) within a 6-month period (January to June / July to December) will be subject to the Securities Custodian Fee. 2. Fee is applied semi-annually. The fee will be debited in July / January in the subsequent year respectively. | | |
| Foreign Exchange and Gold Margin Trading Service | | |
| Foreign Exchange and Gold Pro Trading Service | | |
| Unauthorized overdraft(s) on HKD and USD Margin Accounts / Pro Accounts - overdraft interest | Prime Rate + 4% p.a. | - |
| Monthly Fee for receiving printed copies of Daily Statements and Monthly Statements* | | |
| - for Hong Kong Address | HKD250 per month | - |
| - for Overseas Address | HKD500 per month | - |
| Statement Duplicate | HKD55 per additional statement | - |
| *Not applicable to customers who have consent to receive trade documents by access through the websites as designated by the Bank from time to time, in lieu of receiving printed copies of trade documents. | | |
| For External Asset Management Account Only | | |
| Handling Fee for Settlement of Receive/Delivery Versus Payment Transaction (for Bond) | USD120 (or equivalent) for each asset | - |

Note:

- China CITIC Bank International Limited ("the Bank") reserves the right to revise any charges and introduce new charge items from time to time. The service charges listed in this bank charges schedule are correct at the time of printing. For charges not listed in this schedule or any enquiries regarding the fees and charges contained in this schedule, please contact our branch staff for details.
- Out-of-pocket expense will be billed at cost separately (if applicable).
- Should there be any changes to the charges by third parties (including but not limit to the Government of Hong Kong Special Administrative Region / Hong Kong Exchange and Clearing Ltd / Securities and Futures Commission / Shanghai Stock Exchange / Shenzhen Stock Exchange / China Securities Regulatory Commission / China Securities Depository and Clearing Corporation Limited / U.S. Securities and Exchange Commission), no prior notice might be given by the Bank on these changes.
- The mentioned American Depository Receipts Fee price range is for reference only and is subject to changes from time to time without prior notice. The final price range, frequency and timing of charging the fee is subject to final confirmation from the Depository Receipts Agent.



| Service Items | Fees and charges |
|--|--|
| Current Account | |
| 1. Returned cheque due to insufficient fund | HK\$150 / US\$18 / CNY200 per cheque |
| 2. Returned cheque for other reasons | HK\$100 / US\$13 / CNY100 per cheque |
| 3. Specially printed cheque book | At cost |
| 4. Auto debit returned due to insufficient fund | HK\$150 / CNY200 per transaction |
| 5. Mailing cheque book | Free |
| 6. Stop cheque payment (per cheque or series in sequential order) | HK\$100 / US\$13 / CNY100 per request |
| 7. Cancel stop cheque request (per cheque or series in sequential order) | HK\$100 / US\$13 / CNY100 per request |
| 8. Unauthorized overdraft(s) (for HKD and USD account only) - Handling charge ⁵ - OD interest | HK\$150 / US\$18 per transaction Prime rate + 4% p.a. |
| One Account "Deposit" – Deposit maintained under One Account | |
| 1. Unauthorized overdraft(s) – OD Interest (for HKD and USD account only) | 1-month HIBOR+4% p.a. /USD Prime p.a. |
| General Service | |
| 1. Cheque marked-good on other banks (requested by customer) - performed by customer - performed by Bank | HK\$100 / US\$13 / CNY100 per cheque plus corresponding bank charge HK\$200 / US\$26 / CNY200 per cheque plus corresponding bank charge |
| 2. Close of account within 6 months after opening | HK\$200 per account |
| 3. Bank statement duplicate | HK\$55 / US\$7 / CNY55 per copy (1 copy = 1 month's statement) |
| 4. Reference letter - original copy - certified true copy | HK\$300 per letter HK\$20 per copy |
| 5. Business Registration search / Limited Company search fee | HK\$300 / US\$40 / CNY300 per company |
| 6. Overseas Company Account Application Fee | HK\$10,000/US\$1,300/CNY10,000 per company |
| 7. Local Company Account Application Fee | HK\$1,200/US\$155/CNY1,200 per company |
| 8. Special Company* Account Application Fee * Special Company refers to: - company which carries out business in specific sector, such as money services, jewelry, etc. - company with 3 or more layers in its corporate structure - company's major shareholder(s) is/are non-Hong Kong permanent resident(s) - trust account This is in addition to the fees mentioned under 6 and 7. | HK\$10,000/US\$1,300/CNY10,000 per company |
| 9. Company Amendment: Change of Director/ Authorized Signatory/ Partners/ Committee Members | HK\$300/US\$40/CNY300 per amendment |
| 10. Local Company Additional Account Application Fee | HK\$1,200/US\$155/CNY1,200 per application |
| 11. Overseas Company Additional Account Application Fee | HK\$10,000/US\$1,300/CNY10,000 per application |
| 12. Special Company* Additional Account Application Fee * Special Company refers to: - company which carries out business in specific sector, such as money services, jewelry, etc. - company with 3 or more layers in its corporate structure - company's major shareholder(s) is/are non-HK permanent resident(s) - trust account This is in addition to the fees mentioned under 10 and 11. | HK\$10,000/US\$1,300/CNY10,000 per application |
| 13. Letter of Guarantee | 1% p.a. (min. HK\$500) |
| 14. Audit confirmation | HK\$350 per confirmation |
| 15. Banker endorsement or verification of customer's signatures | HK\$150 per item |
| 16. Certificate of Balance | HK\$150 per certificate |
| 17. Request for Personal Data Access | HK\$300 per request |

| Service Items | Fees and charges |
|---|--|
| Remittance Service | |
| Outward Telegraphic Transfer | |
| 1. CHATS transfer out | HK\$180 per instruction HK\$50 per instruction for HKD CHATS via ibanking / Free via i-banking / inMotion |
| 2. Telex / SWIFT plus handling charge | HK\$200 per transaction (including HK\$100 cable charge and HK\$100 handling charge) HK\$100 per transaction via i-banking |
| 3. Additional Telex / SWIFT charges for non-domicile currency TT (OTT to People's Republic of China, Hong Kong SAR, Regions of Taiwan and the Macao SAR EXEMPT) | HK\$100 per transaction |
| 4. Payment details with Chinese characters (over 10 characters) | HK\$150 per transaction |
| 5. Commission in lieu of exchange | 0.25% of transaction amount (min. HK\$100, max. HK\$1,000) |
| 6. Payment details with English characters (over 140 characters) | HK\$150 per transaction |
| 7. Correspondent bank charge for CHATS (borne by remitter) ^a | HK\$200 per transaction + additional charge which is subject to beneficiary bank's charge scheme, if applicable |
| 8. Correspondent bank charge (borne by remitter) ^a | EUR / GBP / JPY: HK\$400 per transaction Other currencies: HK\$250 per transaction + additional charge which is subject to beneficiary bank's charge scheme (if applicable) |
| 9. Cancellation / amendment / enquiry via Telex | HK\$200 per item plus HK\$150 Telex / SWIFT plus corresponding bank charge (if applicable) |
| Outward Demand Draft | |
| 1. Issuance | Free |
| 2. Commission in lieu of exchange | 0.25% of transaction amount (min. HK\$50, max. HK\$1,000) |
| 3. Mailing of Demand Draft on behalf of customer | HK\$50 handling fee plus registered mail fee |
| 4. Enquiry via Telex / Stop Payment | HK\$150 per item plus HK\$150 Telex / SWIFT plus corresponding bank charge (if applicable) |
| 5. Cancellation | HK\$100 handling charge plus 0.25% commission in lieu of exchange (min. HK\$50) |
| Inward Telegraphic Transfer | |
| Cheque Purchase | |
| 1. Handling fee - commission in lieu of exchange or - exchange involved plus additional fee if cheque or draft over 15 pieces plus corresponding bank charge (if applicable) | 0.25% of transaction amount (min. HK\$50) HK\$30 per cheque or draft HK\$3 per cheque or draft |
| 2. Cheque returned | HK\$150 handling fee plus bills rate + 1% p.a. plus corresponding bank charge |
| 3. Postage | HK\$30 per transaction per drawee bank |
| Clean Cheque for Collection | |
| 1. Handling fee If cheque drawn on Macau and cheque amount over HK\$200,000 | HK\$200 per cheque plus HK\$30 postage plus corresponding bank charge 0.1% of transaction amount plus HK\$30 postage plus corresponding bank charge |
| 2. Cheque returned | HK\$150 per cheque plus corresponding bank charge |

^a Except the unauthorized overdraft is initiated by the Bank (such as charges).

^a Please note that if the charges debited by the Bank are not sufficient to cover all the Correspondent Bank Charges, the Bank reserves the right to debit the shortfall amount from the applicant's account without prior notice. The Bank reserves the right to revise any charges and introduce new charge items from time to time. The service charges listed in this bank charges schedule are correct at the time of printing but remain subject to change with notice. For charges not listed in this bank charges schedule, please refer to the Bank Service Fees and Charges of Retail Banking.